



## IN VITRO MODELS OF CNS FUNCTION AND DYSFUNCTION

### Cross-References to Related Applications

This application is a continuation-in-part of U.S.S.N. 08/270,412 filed July 5, 1994 which is a continuation of U.S.S.N. 07/726,812 filed July 8, 1991. Reference 5 is made to co-pending applications U.S.S.N. 08/221,655 filed April 1, 1994, U.S.S.N. 08/149,508 filed November 9, 1993, U.S.S.N. 07/961,813 filed October 16, 1992, and U.S.S.N. 08/010,829 filed January 29, 1993.

### Background of the Invention

The mature human nervous system is composed of billions of cells that are 10 generated during development from a small number of precursors located in the neural tube. The study of central nervous system (CNS) developmental pathways as well as alterations that occur in adult mammalian CNS due to dysfunction have been difficult due to the complexity of the mammalian CNS. Such matters would be better studied using relatively simple models of the CNS under defined 15 conditions.

Generally, two approaches have been taken for studying cultured CNS cells: the use of primary neural cultures; and the use of neural cell lines. Primary mammalian neural cultures can be generated from nearly all brain regions providing that the starting material is obtained from fetal or early post-natal 20 animals. In general, three types of cultures can be produced, enriched either in neurons, astrocytes, or oligodendrocytes. Primary CNS cultures have proven valuable for discovering many mechanisms of neural function and are used for studying the effects of exogenous agents on developing and mature cells. While primary CNS cultures have many advantages, they suffer from two primary

drawbacks. First, due to the limited proliferative ability of primary neural cells, new cultures must be generated from several different animals. While great care is usually taken to obtain tissue at identical states of development and from identical brain regions, it is virtually impossible to generate primary cultures that

5 are identical. Hence, there exists a significant degree of variability from culture to culture.

A second disadvantage of primary cultures is that the tissue must be obtained from fetuses or early post-natal animals. If primary cultures are to be performed on a regular basis, this requires the availability of a large source of starting

10 material. While this is generally not a problem for generating primary cultures from some species (e.g. rodents), it is for others (e.g. primates). Due to the limited supply and ethical concerns, the culturing of primary cells from primates (both human and non-human) is not practical.

Due to the limited proliferative ability of primary neural cells, the generation of

15 a large number of homogenous cells for studies of neural function, dysfunction, and drug design/screening has previously not been achieved. Therefore, homogenous populations of cells that can generate a large number of progeny for the *in vitro* investigation of CNS function has been studied by the use of cell lines. The generation of neural cell lines can be divided into two categories: 1)

20 spontaneously occurring tumors, and 2) custom-designed cell lines.

Of the spontaneously occurring tumors, probably the most studied cell line for neurobiology is the rat pheochromocytoma (PC12) cells that can differentiate into sympathetic-like neurons in response to nerve growth factor (NGF). These cells have proven to be a useful model for studying mechanisms of neural development

25 and alterations (molecular and cellular) in response to growth factors. Neuroblastoma and glioma cell lines have been used to study neuronal and glial functioning (Lies, et al., 1987; Nister et al., 1988). Embryonal carcinoma (EC) cells are derived from teratoma tumors of fetal germ cells and have the ability to differentiate into a large number of non-neuronal cell types with some lines (e.g.

30 P19 cells, Jones-Villeneuve et al., 1982) having the ability to differentiate into

neural cells (McBurney et al., 1988). A human teratocarcinoma-derived cell line, NTera 2/cl.D1, with a phenotype resembling CNS neuronal precursor cells, can be induced to differentiate in the presence of retinoic acid. However, the differentiated cells are restricted to a neuronal phenotype [Pleasure and Lee 5 (1993), *J. Neurosci. Res.* 35: 585-602]. While these types of cell lines are able to generate a large number of cells for screening the effects of exogenous agents on cell survival or function, the limited number of these types of lines, the limited number of phenotypes that they are able to generate and the unknown nature of their immortalization (which may effect the function of the cells in an undefined 10 manner) makes these types of cell lines less than ideal for *in vitro* models of neural function and discovery of novel therapeutics.

An alternative approach to spontaneously occurring cell lines is the intentional immortalization of a primary cell by introducing an oncogene that alters the genetic make-up of the cell thereby inducing the cell to proliferate indefinitely. This 15 approach has been used by many groups to generate a number of interesting neural cell lines (Bartlett et al., 1988; Frederiksen et al., 1988; Trotter et al., 1989; Ryder et al. 1889; Murphy et al., 1991; Almazan and McKay, 1992). While these lines may prove useful for studying the decisions that occur during cell determination and differentiation, and for testing the effects of exogenous agents, 20 they suffer from several drawbacks. First, the addition of an oncogene that alters the proliferative status of a cell may affect other properties of the cell (oncogenes may play other roles in cells besides regulating the cell cycle). This is well illustrated in a study by Almazan and McKay (1992) and their immortalization of an oligodendrocyte precursor from the optic nerve which is unable to 25 differentiate into type II astrocytes (something that normal optic nerve oligodendrocyte precursors can do). The authors suggest the presence of the immortalizing antigen may alter the cells ability to differentiate into astrocytes.

Another drawback to using intentionally immortalized cells results from the fact that the nervous system is composed of billions of cells and possibly thousands 30 of different cell types, each with unique patterns of gene expression and responsiveness to their environment. A custom-designed cell line is the result

of the immortalization of a single progenitor cell and its clonal expansion. While a large supply of one neural cell type can be generated, this approach does not take into account cellular interactions between different cell types. In addition, while it is possible to immortalize cells from a given brain region, immortalization  
5 of a desired cell is not possible due to the lack of control over which cells will be altered by the oncogene. Hence, while custom designed cell lines offer a few advantages over spontaneously occurring tumors, they suffer from several drawbacks and are less than ideal for understanding CNS function and dysfunction.

Summary of the Invention

- 10 In light of the deficiencies attendant with the prior art methods of providing large quantities of genetically unaltered neural cells for the purpose of studying CNS development and function and for use in determining the effects of potential therapeutic agents for CNS dysfunction, there exists a need for improved CNS model systems that can be used for these purposes.
- 15 Accordingly, it is an object of the present invention to provide a CNS model system for the studies of neural development and function and for determining the CNS effects of novel therapeutics and other biological agents. It is an object of this invention that such a CNS system allow for the generation of a large number of cells from a relatively small amount of starting material obtained from a variety  
20 of species, including humans and extending over a wide age range, including adults.

It is an object to provide a CNS model system that comprises cells that are not spontaneously occurring tumors or have not been intentionally immortalized by the insertion of an oncogene in order to induce unlimited proliferation, thereby  
25 removing any questions of the influence of genetic alteration on the normal function of the cells.

It is another object to provide a CNS model system wherein the cells are clonally derived and thus represent a population of cells having a low degree of variability from one use of the model to the next use.

It is another object of the invention to provide a CNS model system wherein the cells proliferate in response to an extrinsic signaling molecule, or combination of molecules, that can be added or removed at will.

- Another object is to provide a CNS model system wherein the proliferated cells
- 5 can be maintained in an undifferentiated state and allowed to differentiate, when desired, into the three major cell types of the mammalian CNS (neurons, astrocytes, and oligodendrocytes).

It is an object of the present invention to provide a CNS model system whereby the differentiation and functioning of CNS cells can be studied in a controlled

10 manner in a system composed of multiple cell types — a situation similar to what occurs *in vivo*.

- It is a further object to provide a CNS model system whereby CNS stem cells can be generated from pre- and post-natal individuals, including adults, allowing for testing to be done on an individual basis.
- 15 It is an object of the present invention to allow for the analysis of gene expression in the CNS stem cells and stem cell-derived progeny of a normal donor and in the cells of a patient with a neurological disorder.

Additional objects and features of the invention will be apparent to those skilled in the art from the following detailed description and appended claims.

20 In one embodiment, the objects are accomplished by a method of screening the effects of a neurological or other biological agent or a combination of neurological agents and/or other biological agents on neural cells comprising dissociating mammalian neural tissue containing at least one multipotent stem cell, exposing said dissociated multipotent stem cell to a culture medium containing at least

25 one growth factor to proliferate the stem cell to obtain a culture of stem cell-generated precursor cells, combining the precursor cells with a biological agent or combination of agents, and measuring the effects of the biological agent or

agents on said precursor cells. In another embodiment, the stem cells are proliferated in the presence of a biological agent or agents and the effects of the biological agent or agents on the stem cells and on their proliferation are determined.

- 5 In another embodiment of the invention, the mammalian neural tissue is obtained from a human donor afflicted with a neurological disease or disorder.

In a further embodiment, the proliferated precursor cells are induced to differentiate in the presence of the biological agent or combination of agents. In yet another embodiment, the stem cell-generated progeny are induced to differentiate prior

- 10 to the addition of the biological agent or agents.

Brief Description of the Drawings

**Fig. 1. Proliferation Of Epidermal Growth Factor (EGF) Responsive Cells:**

After 2 days *in vitro* EGF-responsive cells begin to proliferate (Fig. 1A). After

4 days *in vitro* small clusters of cells are apparent (Fig. 1B). The clusters of

- 15 continuously proliferating cells continue to grow in size (Fig. 1C) until they lift off the substrate and float in suspension (Fig. 1D). At this stage, the floating spheres can be easily removed, dissociated into single cells and, in the presence of EGF, proliferation can be re-initiated. (Bar: 50 µm).

**Fig. 2. Differentiation Of Cells From Single EGF-Generated Spheres Into**

**20 Neurons, Astrocytes, And Oligodendrocytes:** Triple-label immunocytochemistry

with antibodies to microtubule associated protein (MAP-2), glial fibrillary acidic protein (GFAP), and O4 (a cell surface antigen) are used to detect the presence of neurons (Fig. 2B), astrocytes (Fig. 2C) and oligodendrocytes (Fig. 2D), respectively, from a single EGF-generated sphere (Fig. 2A) derived from primary

- 25 culture. (Bar: 50 µm).

**Fig. 3. Labeling Of Neurospheres Co-Cultured With Striatal Astrocytes:**

A phase contrast view of an 8 day old neurosphere grown on an astrocyte feeder layer is shown in Fig 3A. Brd-U labeling of neurosphere cells shows that virtually

all cells incorporate Brd-U (Fig. 3B). Phase contrast of the feeder layer cells is shown in Fig. 3C. GFAP labeling of the feeder layer cells shows that the majority of cells in the feeder layer are astrocytes (GFAP-IR) (Fig. 3D). After differentiation occurs, BrdU labeled neurons (Figs. 3E and 3G) are immunoreactive for 5 neuropeptide Y (NPY) (Fig. 3F) or somatostatin (Fig. 3H), as well as other neurotransmitters such as glutamate and methenkephalin (not shown).

**Fig. 4. Increased Numbers Of Neurons Produced From One Neurosphere**

**In Presence of Brain-Derived Neurotrophic Factor (BDNF):**

Quantification of the mean number of neurons at 10 days *in vitro* (DIV) from single EGF-10 responsive stem cell-generated neurospheres showed that in the absence of BDNF,  $11.46 \pm 1.21$  neurons per neurosphere were generated. When BDNF (10 ng/ml) was present in the culture medium, a significantly greater ( $p < 0.5$ ) number of neurons were identified ( $22.34 \pm 2.33$  neurons per neurospheres).

**Fig. 5. Enhanced Neuronal Process Outgrowth In Presence of BDNF:**

15 Neurospheres, grown in the absence of BDNF (Fig. 5A) and in the presence of BDNF (Fig. 5B) for 10 DIV, were fixed and processed for indirect immunocytochemistry with antiserum to  $\tau$ -amino butyric acid (GABA). The majority of neurons grown in the presence of BDNF extended long neurites and exhibited an extensive and complex branching pattern relative to the non-BDNF treated 20 neurospheres.

**Fig. 6. Response To BDNF By Selective Populations Of Cells Within A**

**Neurosphere:** Indirect immunocytochemistry for the immediate-early gene product c-fos reveals that nearly all of the cells within a single clonally derived neurosphere are responsive to EGF (20 ng/ml) stimulation, as assayed by increased c-fos 25 immunoreactivity (Fig. 6A). Dual-label immunocytochemistry with antiserum to the nuclear antigen c-fos and an antibody directed against the neuronal specific antigen  $\beta$ -tubulin, demonstrates that a 60 minute exposure to BDNF results in a selective expression of c-fos (Fig. 6B), primarily in the neuronal population as determined with the  $\beta$ -tubulin antisera (Fig. 6C).

**Fig. 7. Effect Of Basic Fibroblast Growth Factor (bFGF) And Bone Morphogenic Protein .2 (BMP-2) On Proliferation Of EGF-Generated Neurospheres:** Cells isolated from the striatum of the 14 day old embryonic mouse were plated into a 96 well plate at a density of 25,000 cells/ml in the presence of EGF (20 ng/ml), EGF + bFGF (each at 20 ng/ml) or EGF + BMP-2 (20 and 10 ng/ml respectively). After 10 DIV, quantification of EGF treated cultures indicated that  $23 \pm 1.33$  neurospheres were generated per well ( $n = 8$ ). bFGF enhanced EGF-stimulated proliferation by giving rise to  $54.5 \pm 2.17$  neurospheres per well ( $n = 8$ ), while BMP-2 prevented stem cell proliferation in response to EGF ( $n = 8$ ).

**Fig. 8. Ethidium Agarose Gel Visualized Via UV Transillumination Showing The Detection of Growth Factor Transcripts In Undifferentiated And Differentiated Stem Cell-Derived Progeny:** The first lane in each panel shows a 1 kb standard molecular weight ladder. The second lane, labeled C, is the negative control which represents PCR in the absence of any cDNA template. The third lane, labeled U, is the RT-PCR of undifferentiated neurospheres. The fourth lane, labeled D, is the RT-PCR of differentiated stem cell-derived progeny. The presence of epidermal growth factor receptor, fibroblast growth factor receptor and leukemia inhibitory factor receptor, are indicated by EGF-R, FGF-R and LIF-R, respectively.

**Fig. 9. Electrophysiological Properties of bFGF-generated Neurons:** A digital image of a presumed neuron illustrating bipolar morphology before patch recording is shown in Fig. 9A. Fig. 9B shows a fluorescence digital image of the same neuron, filled with 5-carboxyfluorescein, following withdrawal of the patch electrode. Fig. 9C depicts graded action potentials evoked by current injection, in the bFGF-generated neuron illustrated in Figs. 9A and 9B.

#### Detailed Description of the Invention

Neural stem cells of the central nervous system (CNS), referred to herein as "CNS stem cells", have been reported and their potential use described (Reynolds and Weiss, Science 255:1707 [1992]; Reynolds et al., J. Neurosci. 12:4565 [1992];

Reynolds and Weiss, *Restorative Neurology and Neuroscience* 4:208 [1992]; Reynolds and Weiss, *Neuronal Cell Death and Repair*, ed. Cuello [1993]). The term "stem cell" refers to a relatively quiescent undifferentiated cell which can be obtained from embryonic, juvenile, or adult tissue that is capable of proliferation and self-maintenance with the generation of a large number of progeny. The utility of neural stem cells is described in copending applications U.S.S.N. 08/270,412; 07/961,813; 08/221,655; 08/010,829; and 08/149,508. Like stem cells found in other mammalian tissues, the CNS stem cell exhibits the critical feature of a stem cell, self-maintenance. Self-maintenance in a cell implies that the cell is able to generate clones of itself and hence maintain its phenotype over an extended period of time.

- The stem cell progeny are referred to herein as "precursor cells" and consists of two types of cells: a) new stem cells and b) progenitor cells that can differentiate into functional cells.
- 15 The term "progenitor cell" refers to an undifferentiated cell derived from a CNS stem cell. The progenitor cell has limited proliferative ability and cannot self-renew. It is committed to a particular path of differentiation and will, under appropriate conditions, differentiate into the different cell types present in the CNS; these include neurons, and glial cells. Glial cell types include astrocytes and  
20 oligodendrocytes.

The term "oligodendrocyte" refers to a differentiated glial cell which forms the myelin surrounding axons in the central nervous system (CNS). Oligodendrocytes are of the phenotype galactocerebroside (+), myelin basic protein (+), and glial fibrillary acidic protein (-) [GalC(+), MBP(+), GFAP(-)]. The term "astrocyte" refers  
25 to a differentiated glial cell that is GFAP(+), GalC(-), and MBP(-) which can have a flat protoplasmic/fibroblast-like morphology or which can display a stellate process bearing morphology. The term "neuron" refers to a differentiated neuronal cell having the phenotype neuron specific enolase (+), neurofilament (+), microtubule associated protein (+), Tau-1 (+) or  $\beta$ -tubulin (+) [NSE(+), NF (+),  
30 MAP-2 (+), Tau-1 (+), or  $\beta$ -tub (+)]. Accordingly the terms "neural stem cell" or

"CNS stem cell", as used herein, refer to multipotent stem cells capable of proliferation to produce more multipotent stem cells and progenitor cells that differentiate into neurons, astrocytes and oligodendrocytes.

- Neural stem cells can be isolated and cultured *in vitro* from mammalian CNS by
- 5 the methods described in Example 1 below and the co-pending applications referenced above. In brief, the stem cells, which have been obtained from mammalian (e.g. human, monkey, rat, mouse, etc.) tissue, are grown in a defined serum-free medium in the presence of at least one growth factor. As used herein, the term "growth factor" refers to a protein, peptide or other molecule having a
  - 10 growth, proliferative, differentiative, or trophic effect on the stem cells and/or progenitor cells. Growth factors which may be used for inducing proliferation include any factor which allows the cells to proliferate, including any molecule which binds to a receptor on the surface of the cell to exert a growth-inducing or survival effect on the cell. Such factors include acidic and basic fibroblast
  - 15 growth factors (aFGF, bFGF), platelet-derived growth factor (PDGF), thyrotropin releasing hormone (TRH), epidermal growth factor (EGF), an EGF-like ligand, amphiregulin, transforming growth factor alpha (TGF $\alpha$ ), brain-derived neurotrophic factor (BDNF), ciliary neurotrophic factor (CNTF), glial-derived neurotrophic factor (GDNF), insulin-like growth factor (IGF-1) and the like. A preferred growth factor
  - 20 is EGF. Also preferred is bFGF or the combination of EGF and bFGF. Growth factors used to regulate stem and progenitor cell development, which have regulatory actions on the cells other than the promotion of proliferation, include transforming growth factor beta (TGF $\beta$ ), retinoic acid, activin, bone morphogenic protein (BMP), ciliary neurotrophic factor (CNTF) and macrophage inflammatory
  - 25 proteins (MIP-1 $\alpha$ , MIP-1 $\beta$ , MIP-2).

In the presence of a growth factor, a multipotent stem cell is induced to divide giving rise to a cluster of undifferentiated cells which is referred to herein as a "neurosphere". The neurosphere is comprised primarily of multipotent stem cells and progenitor cells. Collectively, the cells of the neurosphere are referred to

- 30 herein as "precursor cells". *In vitro*, precursor cells typically grow in the form of neurospheres, but they may exhibit different growth patterns depending upon

culturing conditions and techniques. Initially, the cells of the neurosphere are not immunoreactive for GFAP, NF, NSE or MBP. However, the cells are of the nestin (+) phenotype, an intermediate filament protein found in undifferentiated CNS cells. The nestin marker was characterized by Lehndahl et al., Cell 60:585-595 (1990). The mature phenotypes associated with the cell types which may be differentiated from the progeny of the cells of the neurosphere are predominantly negative for the nestin phenotype.

In the continued presence of a mitogen such as EGF or the like, precursor cells within the neurosphere continue to divide resulting in an increase in the size of the neurosphere and the number of undifferentiated cells [nestin(+), GFAP(-), NF(-), NSE (-), MBP (-)]. At this stage, the cells are non-adherent and tend to form the free-floating clusters characteristic of neurospheres. After 6 to 7 DIV, the cells of the neurosphere can be dissociated. Virtually all of the cells attach to the tissue culture substrate. In the continued presence of a growth factor, stem cells begin to divide and lift off the substrate forming new free-floating neurospheres consisting of clonally-derived cells. Hence, utilizing this method of proliferation, dissociation, and re-initiation of proliferation, an unlimited number of clonally-derived precursor cells can be generated *in vitro*.

Upon removal of the mitogenic growth factor, proliferation of the stem cell ceases. The sphere of undifferentiated cells can be adhered to a substrate such as poly-ornithine-treated plastic or glass where the cells begin to differentiate into neurons and glial cells. Thus, the growth factor acts as an extrinsic signaling molecule that can be added or removed at will to control the extent of proliferation.

When the mitogenic growth factor is removed, the growth-factor responsive stem cell progeny can be co-cultured on a feeder layer. Many types of feeder layers may be used, such as fibroblasts, neurons, astrocytes, oligodendrocytes, tumor cell lines, genetically altered cell lines or any cells or substrate with bioactive properties. The feeder layer generally produces a broader range of phenotypes. In this instance, the feeder layer acts as a substrate and source of both membrane bound and soluble factors that induce and alter the differentiation of the stem

cell-generated progeny. Compared to a more inert substance, such as poly-L-ornithine, an astrocyte feeder layer, for example, induces a broader range of neuronal phenotypes as determined by indirect immunocytochemistry at 7 DIV.

5 When differentiated on a poly-L-ornithine coated substrate with 1% fetal bovine serum, neuronal phenotypes are almost exclusively GABAergic or Substance Pergic. When differentiated on an astrocyte feeder layer, in addition to GABAergic and Substance Pergic neurons, somatostatin, neuropeptide Y (NPY), glutamate and met-enkephalin-containing neurons are present. The astrocytes can be derived from tissue obtained from various brain regions such as the striatum,

10 cortex and spinal cord.

Once the growth factor is removed, the culture medium may contain serum such as 0.5-1.0% fetal bovine serum (FBS). Serum tends to support the differentiation process and enhance cell survival, especially when the differentiating cells are grown at a low density.

15 Within 1-3 days after removal of the growth factor and placing of the cell in conditions that support differentiation and survival, most or all of the precursor cells begin to lose immunoreactivity for nestin and begin to express antigens specific for neurons, astrocytes or oligodendrocytes. The identification of neurons is confirmed using immunoreactivity for NSE, NF,  $\beta$ -tub, NeuN (a nuclear antigen),

20 MAP-2 and the neuron specific protein Tau-1. Astrocytes and oligodendrocytes are identified using immunoreactivity for GFAP and GalC, respectively. Cells that do not express antigens specific for neurons or for astrocytes, begin to express markers specific for oligodendrocytes in a correct temporal fashion. That is, the cells first become immunoreactive for O4 (a cell surface antigen), GalC

25 (a myelin glycolipid) and finally, MBP. These cells also possess a characteristic oligodendrocyte morphology.

Neurons can also be identified based on their specific neurotransmitter phenotype together with analysis of their morphology. Using single, dual, or triple-label immunofluorescence and immunoperoxidase methods, differentiated neurosphere

30 cultures can be analyzed for the expression of neurotransmitters, or in some cases

for the enzymes responsible for the neurotransmitter synthesis. Alternatively, *in situ* hybridization histochemistry can be performed using cDNA or RNA probes specific for the peptide neurotransmitter or the neurotransmitter synthesizing enzyme mRNAs. These techniques can be combined with immunocytochemical

5 methods to enhance the identification of specific phenotypes. If necessary, the antibodies and molecular probes discussed above can be applied to Western and Northern blot procedures respectively to aid in the cell identification.

In addition to being able to isolate EGF-responsive stem cells from any region in the embryonic CNS, CNS stem cells can also be isolated from a variety of

10 juvenile and adult CNS regions, including the conus medullaris, cervical, thoracic and lumbar spinal cord, brain stem, hypothalamus and striatum. In each of these cases the isolated CNS stem cell exhibits self-maintenance and generates a large number of progenitor cells which differentiate into neurons, astrocytes and oligodendrocytes. Thus, multipotent stem cells are present in multiple regions

15 of the adult mammalian CNS. CNS stem cells can also be isolated from dysfunctional CNS tissue, for example tissue afflicted with Alzheimer's Disease, Parkinson's Disease, or Down's Syndrome.

The precursor cells described above can be used in methods of determining the effect of a biological agents on neural cells. The term "biological agent" refers

20 to any agent, such as a virus, protein, peptide, amino acid, lipid, carbohydrate, nucleic acid, nucleotide, drug, pro-drug or other substance that may have an effect on neural cells whether such effect is harmful, beneficial, or otherwise. Biological agents that are beneficial to neural cells are referred to herein as "neurological agents", a term which encompasses any biologically or pharmaceutically active

25 substance that may prove potentially useful for the proliferation, differentiation or functioning of CNS cells or treatment of neurological disease or disorder. For example, the term may encompass certain neurotransmitters, neurotransmitter receptors, growth factors, growth factor receptors, and the like, as well as enzymes used in the synthesis of these agents. To determine the effect of a potential

30 biological agent on neural cells, a culture of precursor cells derived from multipotent stem cells obtained from a host afflicted with a CNS disease or disorder

can be used, or a culture obtained from normal tissue can be used. The choice of culture will depend upon the particular agent being tested and the effects one wishes to achieve. Once the cells are obtained from the desired donor tissue, they are proliferated *in vitro* in the presence of a growth factor.

- 5    The effects of biological agents on the proliferation of stem cells and progenitor cells are determined using cells grown according to Example 1. For example, using these methods, it is possible to screen for biological agents that increase the proliferative ability of progenitor cells which would be useful for generating large numbers of cells for transplantation purposes. It is also possible to screen
- 10   for biological agents which inhibit precursor cell proliferation. In these studies precursor cells are plated in the presence of the biological factor(s) of interest and assayed for the degree of proliferation which occurs (Example 4). The effects of a biological agent or combination of biological agents on the differentiation and survival of progenitor cells and their progeny can be determined (Example
- 15   3). It is possible to screen neural cells which have already been induced to differentiate prior to the screening. It is also possible to determine the effects of the biological agents on the differentiation process by applying them to precursor cells prior to differentiation. Generally, the biological agent will be solubilized and added to the culture medium at varying concentrations to determine the effect
- 20   of the agent at each dose. The culture medium may be replenished with the biological agent every couple of days in amounts so as to keep the concentration of the agent somewhat constant.

- Using these screening methods, it is possible to screen for potential drug side-effects on pre- and post-natal CNS cells by testing for the effects of the biological
- 25   agents on stem cell and progenitor cell proliferation and on progenitor cell differentiation or the survival and function of differentiated CNS cells. The proliferated precursor cells are typically plated at a density of about  $5-10 \times 10^6$  cells/ml. If it is desired to test the effect of the biological agent on a particular differentiated cell type or a given make-up of cells, the ratio of neurons to glial
  - 30   cells obtained after differentiation can be manipulated by separating the different types of cells. For example, the O4 antibody (available from Boehringer

Mannheim) binds to oligodendrocytes and their precursors. Using a panning procedure, oligodendrocytes are separated out. Astrocytes can be panned out after a binding procedure using the RAN 2 antibody (available from ATCC). Tetanus toxin (available from Boehringer Mannheim) can be used to select out

5 neurons. By varying the trophic factors added to the culture medium used during differentiation it is possible to intentionally alter the phenotype ratios. Such trophic factors include EGF, FGF, BDNF, CNTF, TGF $\alpha$ , GDNF, and the like. For example, as disclosed in co-pending U.S. Ser. No. 08/221,655, FGF increases the ratio of neurons, and CNTF increases the ratio of oligodendrocytes. Growing the

10 cultures on beds of glial cells obtained from different CNS regions will also affect the course of differentiation as described above. The differentiated cultures remain viable (with phenotype intact) for at least a month.

The effects of the biological agents are identified on the basis of significant difference relative to control cultures with respect to criteria such as the ratios

15 of expressed phenotypes (neurons: glial cells, or neurotransmitters or other markers), cell viability and alterations in gene expression. Physical characteristics of the cells can be analyzed by observing cell and neurite morphology and growth with microscopy. The induction of expression of new or increased levels of proteins such as enzymes, receptors and other cell surface molecules, or of

20 neurotransmitters, amino acids, neuropeptides and biogenic amines can be analyzed with any technique known in the art which can identify the alteration of the level of such molecules. These techniques include immunohistochemistry using antibodies against such molecules, or biochemical analysis. Such biochemical analysis includes protein assays, enzymatic assays, receptor binding

25 assays, enzyme-linked immunosorbantassays (ELISA), electrophoreticanalysis, analysis with high performance liquid chromatography (HPLC), Western blots, and radioimmune assays (RIA). Nucleic acid analysis such as Northern blots and polymerase chain reaction (PCR) can be used to examine the levels of mRNA coding for these molecules, or for enzymes which synthesize these molecules.

30 The factors involved in the proliferation of stem cells and the proliferation, differentiation and survival of stem cell progeny, and/or their responses to biological

agents can be isolated by constructing cDNA libraries from stem cells or stem cell progeny at different stages of their development using techniques known in the art. The libraries from cells at one developmental stage are compared with those of cells at different stages of development to determine the sequence of

5 gene expression during development and to reveal the effects of various biological agents or to reveal new biological agents that alter gene expression in CNS cells. When the libraries are prepared from dysfunctional tissue, genetic factors may be identified that play a role in the cause of dysfunction by comparing the libraries from the dysfunctional tissue with those from normal tissue. This information

10 can be used in the design of therapies to treat the disorders. Additionally, probes can be identified for use in the diagnosis of various genetic disorders or for use in identifying neural cells at a particular stage in development.

Electrophysiological analysis can be used to determine the effects of biological agents on neuronal characteristics such as resting membrane potential, evoked

15 potentials, direction and ionic nature of current flow and the dynamics of ion channels. These measurements can be made using any technique known in the art, including extracellular single unit voltage recording, intracellular voltage recording, voltage clamping and patch clamping. Voltage sensitive dyes and ion sensitive electrodes may also be used.

20 In order that the invention described herein may be more fully understood, the following examples are set forth. It should be understood that these examples are for illustrative purposes only and are not to be construed as limiting the scope of the invention in any manner.

25 **Example 1**  
**Propagation of precursor cells**

Embryonic day 14 (E14) CD<sub>1</sub> albino mice (Charles River) were decapitated and the brain and striata removed using sterile procedure. The tissue was mechanically dissociated with a fire-polished Pasteur pipette into serum-free medium composed of a 1:1 mixture of Dulbecco's modified Eagle's medium

30 (DMEM) and F-12 nutrient mixture (Gibco). The cells were centrifuged at 800

r.p.m. for 5 minutes, the supernatant aspirated, and the cells resuspended in DMEM/F-12 medium for counting.

The cells were suspended in a serum-free medium, hereinafter referred to as "complete medium", composed of DMEM/F-12 (1:1) which included glucose (0.6%),

5 glutamine (2 mM), sodium bicarbonate (3 mM), HEPES (4-[2-hydroxyethyl]-1-piperazineethanesulfonic acid) buffer (5 mM) and a defined hormone mix and salt mixture (to replace serum) that included insulin (25 µg/ml), transferrin (100 µg/ml), progesterone (20 nM), putrescine (60 µM), and selenium chloride (30 nM) (all from Sigma except glutamine [Gibco]). In addition, the medium contained

10 16-20 ng/ml EGF (purified from mouse submaxillary, Collaborative Research) or TGF $\alpha$  (human recombinant, Gibco). The cells were plated at  $0.2 \times 10^6$  cells/ml into 75 cm<sup>2</sup> tissue culture flasks (Corning) with no substrate pre-treatment and housed in an incubator at 37°C, 100% humidity, 95% air/5% CO<sub>2</sub>.

When the cells were proliferated, within the first 48 hours and by 3-4 days in

15 vitro (DIV), they formed small clusters, known as neurospheres, that lifted off the substrate between 4-6 DIV (Fig. 1). Neurospheres contain undifferentiated precursor cells, i.e. stem cells and progenitor cells.

After 7 DIV, the neurospheres were removed, centrifuged at 400 r.p.m. for 2-5 minutes, and the pellet was mechanically dissociated into individual cells with

20 a fire-polished glass Pasteur pipet in 2 mls of complete medium.  $1 \times 10^6$  cells were replated into a 75 cm<sup>2</sup> tissue culture flask with 20 mls of the EGF-containing complete medium. The proliferation of the stem cells and formation of new neurospheres was reinitiated. This procedure can be repeated every 6-8 days.

25                   **Example 2**  
                         **Differentiation of neurospheres**

Neurospheres were differentiated using the following paradigms. Using any of the following paradigms will produce neurons, astrocytes and oligodendrocytes. However, adding certain growth factors or combinations of growth factors can alter the phenotype ratios obtained after differentiation. Additionally, the use of

glial feeder beds can influence the phenotype ratios obtained. The neurospheres used for each of the following paradigms were generated as outlined in Example 1. All the neurospheres used were passed at least once prior to their differentiation.

**5 Paradigm 1 -- Rapid differentiation of neurospheres**

Six to 8 days after the first passage, the neurospheres were removed and centrifuged at 400 r.p.m. The EGF-containing supernatant was removed and the pellet suspended in EGF-free complete medium containing 1% fetal bovine serum (FBS).

- 10 Neurospheres (approximately  $0.5-1.0 \times 10^6$  cells/well) were plated on poly-L-ornithine-coated (15  $\mu\text{g}/\text{ml}$ ) glass coverslips in 24 well Nuclon (1.0 ml/well) culture dishes. After 24 hours in culture, the coverslips were transferred to 12 well (Costar) culture dishes containing complete medium containing 0.5% FBS. The medium was changed every 4-7 days. This differentiation procedure is referred  
15 to as the "Rapid Differentiation Paradigm" or RDP.

**Paradigm 2 -- Differentiation of dissociated neurospheres**

- Six to 8 days after the first passage, the neurospheres were removed and centrifuged at 400 r.p.m. The EGF-containing media was removed and the pellet was suspended in EGF-free complete medium containing 1% FBS. The  
20 neurospheres were mechanically dissociated into single cells with a fire-polished Pasteur pipette and centrifuged at 800 r.p.m. for 5 minutes. Between  $0.5 \times 10^6$  and  $1.0 \times 10^6$  cells were plated on poly-L-ornithine-coated (15  $\mu\text{g}/\text{ml}$ ) glass coverslips in 24 well Nuclon (1.0 ml/well) culture dishes. The EGF-free culture medium containing 1% FBS was changed every 4-7 days.

**25 Paradigm 3 -- Differentiation of single neurospheres**

Neurospheres were washed free of EGF by serial transfers through changes of EGF-free medium. Individual neurospheres were plated onto poly-L-ornithine-coated (15  $\mu\text{g}/\text{ml}$ ) glass coverslips in a 24-well plate. The culture medium used was complete medium with or without 1% FBS. The medium was changed every

4-7 days. Triple label immunocytochemistry revealed that all three neural cell types, i.e. neurons, astrocytes and oligodendrocytes, are clonally derived from a single neurosphere (Fig. 2).

**Paradigm 4 -- Differentiation of single dissociated neurospheres**

- 5 Neurospheres were washed free of EGF by serial transfers through changes of EGF-free medium. A single neurosphere was mechanically dissociated in a 0.5 ml Eppendorf centrifuge tube and all the cells were plated onto a poly-L-ornithine coated 35 mm culture dish. Complete medium was used with or without 1% FBS.

10 **Paradigm 5 -- Differentiation of neurospheres co-cultured with striatal astrocytes**

- Neurospheres, derived from striatal cells as described in Example 1 were labeled with 5-bromodeoxyuridine (BrdU) and washed free of EGF. An astrocyte feeder layer was generated from striatal tissue of postnatal mice (0-24 hours), and plated on poly-L-ornithine-coated glass coverslips in a 24-well culture dish. When the 15 astrocytes were confluent, a dissociated or intact neurosphere was placed on each astrocyte bed. Complete medium was changed after the first 24 hours and then every forty-eight hours. When differentiated on an astrocyte feeder layer, in addition to GABAergic and Substance Pergic neurons, somatostatin, NPY, glutamate and methenkephalin-containing neurons were present (Fig. 3).

20

**Example 3**

**Screening of Drugs or Other Biological Agents for Various Effects**

- A. Effects of BDNF on Neuronal and Glial Cell Differentiation and Survival
- Precursor cells were propagated as described in Example 1 and differentiated using Paradigm 3 described in Example 2. At the time of plating the EGF-generated cells, BDNF was added at a concentration of 10 ng/ml. At 3, 7, 14, and 21 days *in vitro* (DIV), cells were processed for indirect immunocytochemistry. BrdU labeling was used to monitor proliferation of the precursor cells. The effects of BDNF on neurons, oligodendrocytes and astrocytes were assayed by probing the cultures with antibodies that recognize antigens found on neurons (MAP-2, 25 NSE, NF), oligodendrocytes (O4, GalC, MBP) or astrocytes (GFAP). Cell survival

was determined by counting the number of immunoreactive cells at each time point and morphological observations were made. BDNF significantly increased the differentiation and survival of neurons over the number observed under control conditions (Fig. 4). Astrocyte and oligodendrocyte numbers were not significantly  
5 altered from control values.

**B. Effects of BDNF on the Differentiation of Neural Phenotypes**

Cells treated with BDNF according to the methods described in Part A were probed with antibodies that recognize neural transmitters or enzymes involved in the synthesis of neural transmitters. These included tyrosine hydroxylase (TH), choline  
10 acetyltransferase (ChAT), substance P, GABA, somatostatin, and glutamate. In both control and BDNF-treated culture conditions, neurons tested positive for the presence of substance P and GABA. (FIG. 5). As well as an increase in numbers, neurons grown in BDNF showed a dramatic increase in neurite extension and branching when compared with control examples (Fig. 6).

**15 C. Identification of Growth-Factor Responsive Cells**

Cells that are responsive to growth factor treatment were identified by differentiating the EGF-generated progeny as described in Example 2, paradigm 3 and at 1 DIV adding approximately 100 ng/ml of BDNF. At 1, 3, 6, 12 and 24 hours after the addition of BDNF the cells were fixed and processed for dual label  
20 immunocytochemistry. Antibodies that recognize neurons (MAP-2, NSE, NF), oligodendrocytes (O4, GalC, MBP) or astrocytes (GFAP) were used in combination with an antibody that recognizes c-fos and/or other immediate early genes. Exposure to BDNF results in a selective increase in the expression of c-fos in neuronal cells (Fig. 6).

**25 D. Effects of BDNF on the Expression of Markers and Regulatory Factors During Proliferation and Differentiation**

Cells treated with BDNF according to the methods described in Part A are processed for analysis of the expression of FGF-R1, as described in Example 5 or other markers and regulatory factors, as described in Example 6.

E. Effects of BDNF administration During Differentiation on the Electrophysiological Properties of Neurons

Neurons treated with BDNF during differentiation, according to the methods described in Part A, are processed for the determination of their  
5 electrophysiological properties, as described in Example 7.

F. Effects of Chlorpromazine on the Proliferation, Differentiation, and Survival of Growth Factor Generated Stem Cell Progeny

Chlorpromazine, a drug widely used in the treatment of psychiatric illness, is used in concentrations ranging from 10 ng/ml to 1000 ng/ml in place of BDNF in  
10 Examples 3A to 3E above. The effects of the drug at various concentrations on stem cell proliferation and on stem cell progeny differentiation and survival is monitored. Alterations in gene expression and electrophysiological properties of differentiated neurons are determined.

**Example 4**  
**Stem Cell Proliferation Assay**

Primary cells were obtained from E14 mice and prepared as outlined in Example  
1. Either EGF, EGF and FGF or EGF and BMP-2 were added to complete  
medium at a concentration of 20 ng/ml of each growth factor, with the exception  
of BMP-2 which was added at a concentration of 10 ng/ml. Cells were diluted  
20 with one of the prepared growth factor-containing media to a concentration of  
25,000 cells/ml. 200 µl of the cell/medium combination were pipetted into each  
well of a 96-well plate (Nulon) with no substrate pretreatment. Cells were  
incubated under the same conditions as outlined in Example 1.

After 8-10 DIV the number of neurospheres was counted and the results tabulated.  
25 As indicated in Fig. 7, cells grown in a combination of EGF and FGF produced  
significantly more neurospheres than cells grown in the presence of EGF alone.  
The combination of EGF and BMP-2 inhibited neurosphere development.

**Example 5**

**Comparison of Receptor and Growth Factor Expression  
in Undifferentiated vs. Differentiated Stem Cell-Derived Progeny  
by Reverse Transcription-Polymerase Chain Reaction (RT-PCR)**

- 5 Neurospheres were generated as described in Example 1, and some were differentiated as per Paradigm 1, Example 2. RNA from either undifferentiated or differentiated neurospheres was isolated according to the guanidinium thiocyanate phenol procedure of Chomzynski and Sacchi -Anal. Biochem. 162: 156-159 1987]. Complementary DNA (cDNA) was synthesized from total
- 10 RNA using reverse transcriptase primed with oligo dT. Gene-specific primers were designed and synthesized and these primers were used in PCR to amplify cDNAs for different growth factors and growth factor receptors. Amplified material was run on agarose gels alongside molecular weight markers to ensure that PCR products were of the expected size, while the identity of PCR fragments was
- 15 confirmed by restriction enzyme analysis and by sequencing [Arcellana-Panlilio, Methods Enzymol. 225: 303-328 (1993)]. Fig. 8 is a photograph of an ethidium-stained agarose gel visualized via UV transillumination showing the detection of three growth factor receptor transcripts, namely EGF-R, FGF-R, and LIF-R, in undifferentiated and differentiated stem cell-derived progeny. Table I lists the
- 20 primer sets analyzed and the results of undifferentiated and differentiated cells.

TABLE I  
Primer Sets Analyzed

		Undifferentiated Cells	Differentiated Cells
5			
	Actin	+	+
	NGF	+	nd
	EGFr <sup>m</sup>	+	+
10	bFGFr	+	+
	LIFr <sup>m</sup>	+	+
	tyrosine hydroxylase	+	+
	choline acetyltransferase <sup>m</sup>	nd	+
	cholecystokinin <sup>m</sup>	nd	-
15	enkephalin <sup>m</sup>	nd	+
	tyrosine kinase-rA	+	+
	tyrosine kinase-rB	+	+++++
	tyrosine kinase-rC	+	+
20	r	= receptor	
	m	= derived from mouse	
	nd	= no data available	

**Example 6**

**Isolation of Novel Markers and Regulatory Factors  
Involved in Neural Stem Cell Proliferation and Differentiation**

Neurospheres are generated as described in Example 1 using CNS tissue from CD<sub>1</sub> albino mice (Charles River). Some of these neurospheres are allowed to differentiate according to the rapid differentiation paradigm of Example 2 producing cultures enriched in neurons, astrocytes, and oligodendrocytes. Total RNA is extracted from the undifferentiated neurospheres as well as the differentiated cell cultures using the guanidinium thiocyanate phenol method referred to in Example 5. Messenger RNA (mRNA) is isolated by exploiting the affinity of its poly A tract to stretches of either U's or T's. Reverse transcription of the mRNA produced cDNA, is then used to make primary libraries in either plasmid [Rothstein et al., Methods in Enzymology 225:587-610 (1993)] or lambda phage vectors. To isolate cDNAs that are specific to either undifferentiated or differentiated stem

cell derived progeny, cDNA from one is hybridized to RNA from the other, and vice versa. The unhybridized, and thus culture type-specific, cDNAs in each case are then used to construct subtracted libraries [Lopez-Fernandez and del Mazo, *Biotechniques* 15(4):654-658 (1993)], or used to screen the primary libraries.

- 5 Stem cell-derived undifferentiated cell specific and differentiated cell specific cDNA libraries provide a source of clones for novel markers and regulatory factors involved in CNS stem cell proliferation and differentiation. Specific cDNAs are studied by sequencing analysis to detect specific sequence motifs as clues to identity or function, and database searching for homologies to known transcripts.
- 10 Using cDNAs in a hybridization to various RNA samples electrophoresed on an agarose-formaldehyde gel and transferred to a nylon membrane, allows the estimation of size, relative abundance, and specificity of transcripts. All or portions of cDNA sequences are used to screen other libraries in order to obtain either complete mRNA sequences or genomic sequence information. Antibodies directed
- 15 against fusion proteins generated from specific cDNAs are used to detect proteins specific to a particular cell population, either by immunocytochemistry or by Western Blot analysis. Specific gene sequences are used to isolate proteins that interact with putative regulatory elements that control gene expression. These regulatory elements are then used to drive the expression of an exogenous gene,
- 20 such as beta-galactosidase.

#### Example 7

#### **Electrophysiological Analysis of Neurons Generated From Growth Factor-Responsive Stem Cells and Exposed to a Biological Agent**

- Neurospheres were generated as described in Example 1. Neurospheres were
- 25 dissociated using the technique described in paradigm 2, Example 2. The clonally derived cells were plated at low density and differentiated in the presence of bFGF. The electrophysiological properties of cells with the morphological appearance of neurons were determined as described as described by Vescovi *et al.* [*Neuron*, 11: 951-966 (1993)]. Under whole cell current clamp, the mean resting potential
- 30 and input resistance were  $-62 \pm 9\text{mV}$  and  $372 \pm \text{M}\Omega$ . Rectangular suprathreshold current steps, ( $\sim 100\text{ pA}$ ) elicited regenerative potential responses in which the

amplitude and time course were stimulus dependent (Fig. 9). After the completion of electrophysiological experiments, the cell morphology was visualized by intracellular excitation of 5-carboxyfluorescein.

**Example 8**

**5    Screening for the Effects of Drugs or Other Biological Agents on Growth Factor-Responsive Stem Cell Progeny Generated From Tissue Obtained From a Patient with a Neurological Disorder**

The effects of BDNF on the EGF-responsive stem cell progeny generated from CNS tissue obtained at biopsy from a patient with Huntington's disease is

**10   determined using the methods outlined in Example 3, A to E. BDNF is a potent differentiation factor for GABAergic neurons and promotes extensive neuronal outgrowth (Fig. 5B). Huntington's Disease is characterized by the loss of GABAergic neurons (amongst others) from the striatum.**

All references and pending applications referred to herein are hereby incorporated  
**15   by reference.**